

# SWC Asbestos Personal Injury Trust<sup>1</sup>

## Claim Form for Pre-Petition Liquidated Asbestos Personal Injury Claims

### General Instructions for filing this Claim Form:

This Proof of Claim Form for Pre-Petition Liquidated Asbestos Personal Injury Claims should be completed only for Asbestos Personal Injury Claims against SWC<sup>1</sup> that were liquidated by (i) a binding settlement agreement for the particular claim entered prior to the Petition Date that is judicially enforceable by the claimant, (ii) a jury verdict or non-final judgment in the tort system obtained prior to the Petition Date, or (iii) a judgment that became final and non-appealable prior to the Petition Date. The claim is liquidated if the settlement agreement, jury verdict or judgment fixes a specific amount that SWC is obligated to pay the claimant. **Do not use this Claim Form if you are the holder of a Pre-Petition Liquidated Asbestos Personal Injury Claim and are waiving the liquidated value of the claim and proceeding to have the claim liquidated under the SWC TDP.** If you are waiving the liquidated value of the claim as described in the preceding sentence or have a claim that has not been liquidated, you will need to complete the Proof of Claim Form for Unliquidated Asbestos Personal Injury Claims.

Please type or print neatly. Should there be insufficient space to list all relevant information, please attach additional sheets. The SWC Asbestos Personal Injury Settlement Trust is referred to herein as the "Trust." In addition to filing the forms that follow, please ensure the following are enclosed:

- Executed settlement agreement, or a court authenticated copy of the jury verdict, non-final judgment, or final judgment (as applicable); and
- Executed release

### Section 1: Claim Information

Law Firm Claim Matter Number: \_\_\_\_\_

### Section 2: Claimant Information

|                                                            |                            |                                                                         |                                             |                   |
|------------------------------------------------------------|----------------------------|-------------------------------------------------------------------------|---------------------------------------------|-------------------|
| Last Name                                                  | First Name                 | Middle Name                                                             | Suffix                                      |                   |
| Social Security Number, Tax ID, or International ID Number | Date of Birth (mm/dd/yyyy) | Gender<br><input type="checkbox"/> Male <input type="checkbox"/> Female | Date of Death (mm/dd/yyyy)<br>(if deceased) |                   |
| Mailing Address (if not represented by counsel)            |                            |                                                                         |                                             |                   |
| City                                                       | State                      | Zip Code                                                                | Country                                     | Daytime Telephone |

<sup>1</sup> Capitalized terms used herein and not otherwise defined shall have the meanings assigned to them in the TDP.

**Section 3: Law Firm / Attorney Information**

*If represented by counsel, please provide the following information:*

|                      |                       |           |                  |
|----------------------|-----------------------|-----------|------------------|
| Law Firm Name        |                       | EIN       |                  |
| Mailing Address      |                       |           |                  |
| City                 |                       | State     | Zip Code         |
| Attorney Last Name   | Attorney First Name   |           | Direct Telephone |
| Para/Admin Last Name | Para/Admin First Name |           | Direct Telephone |
| E-mail               |                       | Facsimile |                  |

**Section 4: Personal Representative (if applicable)**

|                                                                       |                                                                                     |             |         |                   |
|-----------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------|---------|-------------------|
| Last Name                                                             | First Name                                                                          | Middle Name | Suffix  |                   |
| Social Security Number, Tax ID, or International ID Number (optional) | Capacity of Personal Representative (i.e., Administrator, Executor, Guardian, etc.) |             |         |                   |
| Mailing Address                                                       |                                                                                     |             |         |                   |
| City                                                                  | State                                                                               | Zip Code    | Country | Daytime Telephone |

**Certificate of Official Capacity or other estate documentation must be enclosed if applicable pursuant to state law.**

**If no Certificate of Official Capacity or other estate documentation is available, attorney must provide official representative certification by signing below:**

*Attorney certifies that this claim is filed on behalf of the Official Representative acting for the Injured Party and that the Official Representative has official capacity to file this claim based on the operation of law.*

Signature of Attorney: \_\_\_\_\_

Printed Name: \_\_\_\_\_

## Section 5: Asbestos-Related Injuries (Disease Levels 1, 2, 3, and 4)

Check the box next to the highest disease level the Injured Party is claiming.

| Disease Level                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                          |                                                                                                                          |                                                                                    |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|
| Disease Level 1<br>(Asbestosis)                                                                                                                                                                                                                                                                                                                                                               | Other Cancer<br>(Disease Level 2)                                                                                                                                                                                                                        | Lung Cancer<br>(Disease Level 3)                                                                                         | Mesothelioma/ Asbestosis<br>Death (Disease Level 4)                                |
| <input type="checkbox"/> Disease Level 1-A (Non-Disabled Asbestosis)<br><input type="checkbox"/> Disease Level 1-B (Disabled Asbestosis)                                                                                                                                                                                                                                                      | <input type="checkbox"/> Colorectal<br><input type="checkbox"/> Laryngeal<br><input type="checkbox"/> Esophageal<br><input type="checkbox"/> Pharyngeal<br><input type="checkbox"/> Stomach<br><input type="checkbox"/> Other (Please Specify):<br>_____ | <input type="checkbox"/> Disease Level 3-A (Lung Cancer 1)<br><input type="checkbox"/> Disease Level 3-B (Lung Cancer 2) | <input type="checkbox"/> Mesothelioma<br><input type="checkbox"/> Asbestosis Death |
| Date of Diagnosis (mm/dd/yyyy):                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                          | Date claim was established by verdict, judgment or settlement agreement (mm/dd/yyyy):                                    |                                                                                    |
| <p>Claim amount as fixed or liquidated under the settlement agreement or pursuant to the jury verdict or judgment:</p> <p>\$ _____</p> <p>If a portion of the claim has already been satisfied and/or the Trust is not liable for payment of the entire claim amount, specify the unpaid portion of the claim which claimant alleges the Trust is responsible for paying:</p> <p>\$ _____</p> |                                                                                                                                                                                                                                                          |                                                                                                                          |                                                                                    |

## Section 6: Certification and Signature

***This claim form must be signed by an attorney or by the claimant if not represented by an attorney.***

If signed by the claimant, I (the claimant) have reviewed the information submitted on this claim form and all documents submitted in support of this claim. To the best of my knowledge, under penalty of perjury, the information submitted is accurate and complete.

If signed by the claimant's counsel, I (counsel to the claimant) certify that the information and materials with respect to this claim are being submitted pursuant to and subject to the provisions of Rule 11 of the Federal Rules of Civil Procedure.

|                                              |                   |
|----------------------------------------------|-------------------|
| Signature of Claimant or Claimant's Attorney | Date (mm/dd/yyyy) |
| Print Name Here                              |                   |
| Signatory's Relationship to Injured Party    |                   |

***To file by mail, send this completed form and all supporting documentation to:***

SWC Asbestos Personal Injury Trust  
c/o Verus Claims Services, LLC  
3967 Princeton Pike  
Princeton, NJ 08540

## Section 7: Checklist of Supporting Documentation

***Please attach the following supporting documentation to the completed claim form.***

*For all claimants:*

- ☐ Executed settlement agreement, or a court authenticated copy of the jury verdict, non-final judgment, or final judgment (as applicable); and
- ☐ Release executed by the claimant in performance of the pre-petition settlement
- ☐ Release in the form accepted by the Trust

*Other supporting documentation, as applicable:*

- ☐ Certificate of Official Capacity or other estate documentation must be enclosed if applicable pursuant to state law. If such documentation is not available, the Law Firm/Attorney's Representatives Affirming Official Representative's Authority must be provided.

*For deceased Injured Parties:*

- ☐ Death certificate.